

Financial & Tax Architects, LLC

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Form ADV Part 2B

Daniel Upchurch

March 8, 2024

This brochure supplement provides information about Daniel Upchurch that supplements Financial & Tax Architects, LLC's brochure. You should have received a copy of that brochure. Please contact Tito Pombra, Chief Compliance Officer, if you did not receive Financial & Tax Architects, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel Upchurch (CRD #7775711) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Brochure Supplement – Form ADV Part 2B**

Daniel Upchurch

Investment Advisor Representative

Year of Birth: 1971

### **Item 2 Educational Background and Business Experience**

#### **Educational Background:**

- Webster University; Attended
- North Carolina State University; Attended

#### **Business Experience:**

- Financial & Tax Architects, LLC; Investment Advisor Representative; 06/2023 – Present
- Yates Group, Insurance Agent, 09/2012 – Present

### **Item 3 Disciplinary Information**

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

### **Item 4 Other Business Activities**

Daniel Upchurch is a licensed insurance agent. Accordingly, he may recommend insurance products and receive customary commissions. This practice creates a conflict of interest as Daniel Upchurch has a financial incentive to recommend insurance products based on the compensation he receives. To mitigate this conflict, Daniel Upchurch will only recommend insurance products when he believes they are in the client's best interest. Clients have no obligation to purchase insurance products through Daniel Upchurch.

### **Item 5 Additional Compensation**

Daniel Upchurch does not receive additional compensation from his advisory activities. He does receive additional compensation from the outside business activities described above.

Daniel Upchurch is not paid performance-based fees.

### **Item 6 Supervision**

Tito Pombra is FTA's Chief Compliance Officer. Tito Pombra and FTA's senior management supervise the advisory activities of Daniel Upchurch. Tito Pombra can be reached by phone at 650-703-2159 or by email at [tpombra@outsourcenco.com](mailto:tpombra@outsourcenco.com).