

Financial & Tax Architects, LLC

12412 Powerscourt Drive, Suite 25

Saint Louis, MO 63131

(314)-858-1122

www.fta-ria.com

Form ADV Part 2B

Catherine Loquet

Office:

1835 Newport Blvd., Ste. A109-138

Costa Mesa, CA 92627

March 8, 2024

This brochure supplement provides information about Catherine Loquet that supplements Financial & Tax Architects, LLC's brochure. You should have received a copy of that brochure. Please contact Tito Pombra, Chief Compliance Officer, if you did not receive Financial & Tax Architects, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Catherine Loquet (CRD #3021480) is available on the SEC's website at www.adviserinfo.sec.gov.

Brochure Supplement (Part 2B of Form ADV)

Catherine Loquet

Investment Adviser Representative

Year of Birth: 1965

Item 2 Educational Background and Business Experience:

Educational Background:

- Cal Poly Pomona; Master of Arts – English; 1997
- U.C. Berkeley; Bachelor of Arts – Social Welfare; 1987

Business Experience:

- Financial and Tax Architects, LLC; Investment Adviser Representative; 12/2023 – Present
- Advanced Wealth Management, Inc.; Owner/President; 02/2002 – Present
- First Financial Security; National Sales Director; 10/2018 – 09/2019

Item 3 Disciplinary Information

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

Item 4 Other Business Activities

Catherine Loquet is the Owner, President, and a licensed insurance agent of Advanced Wealth Management, Inc., an insurance agency. As part of this outside activity, Ms. Loquet recommends insurance products and receives customary commissions from insurance sales.

This practice represents a conflict of interest. There is a financial incentive for Ms. Loquet to recommend products that pay her a commission or other additional compensation. The conflict mitigation steps include client disclosures, the Code of Ethics, and Ms. Loquet's fiduciary obligation to place the best interests of her client before her own. There is no obligation to purchase insurance products through Ms. Loquet. The decision to purchase such products does not impact the availability or cost of advisory services.

Item 5 Additional Compensation

Ms. Loquet does not receive additional compensation from her advisory activities. She does receive additional compensation from the outside business activities described above.

Ms. Loquet is not paid performance-based fees.

Item 6 Supervision

Tito Pombra is FTA's Chief Compliance Officer. Tito Pombra and FTA's senior management supervise the advisory activities of Ms. Loquet. Tito Pombra can be reached by phone at 650-703-2159 or by email at tpombra@outsourccecco.com