

Financial & Tax Architects, LLC

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Form ADV Part 2B

Alan B. Schmidt

May 8, 2023

This brochure supplement provides information about Alan B. Schmidt that supplements Financial & Tax Architects, LLC's brochure. You should have received a copy of that brochure. Please contact Tito Pombra, Chief Compliance Officer, if you did not receive Financial & Tax Architects, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Alan B. Schmidt (CRD #119169) is available on the SEC's website at www.adviserinfo.sec.gov.

Brochure Supplement – Form ADV Part 2B

Alan B. Schmidt

Investment Advisor Representative

Year of Birth: 1986

Item 2 Educational and Business Background

Educational Background:

- Lincoln High School, 2006

Business Experience:

- Financial and Tax Architects, LLC; Investment Advisor Representative; 04/2020 – Present
- ECA Marketing NFP; Marketing Executive; 07/2019 – Present
- The Financial Fire Marshall; Owner; 11/2017 – 11/2021
- The Diamond Group; Owner; 06/2016 – 11/2017
- United Retirement Consulting; 05/2006 – 06/2016

Item 3 Disciplinary Information

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

Item 4 Other Business Activities

Alan B. Schmidt is also a marketing executive for ECA Marketing, NFP (“ECA”), an insurance field marketing organization. As an ECA marketing executive, Mr. Schmidt recruits insurance agents. The independent insurance agents he recruits on behalf of ECA may use the wholesale insurance services provided by AdvisorMax, LLC. AdvisorMax, LLC is an affiliate of Financial & Tax Architects, LLC. This activity does not present a conflict of interest since Mr. Schmidt’s marketing activities do not include clients of or advisory activities on behalf of Financial & Tax Architects, LLC.

Item 5 Additional Compensation

Mr. Schmidt does not receive additional compensation from his advisory activities. He does receive additional compensation from the outside business activities described above.

Mr. Schmidt is not paid performance-based fees.

Item 6 Supervision

Tito Pombra is the Chief Compliance Officer of Financial & Tax Architects, LLC. Tito Pombra and FTA's senior management supervise the advisory activities of Mr. Schmidt. Tito Pombra can be reached by phone at 650-703-2159 or by email at tpombra@outsourccecco.com.