

Financial & Tax Architects, LLC

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Form ADV Part 2B

Lindahl Lucas

May 8, 2023

This brochure supplement provides information about Lindahl Lucas that supplements Financial & Tax Architects, LLC's brochure. You should have received a copy of that brochure. Please contact Tito Pombra, Chief Compliance Officer, if you did not receive Financial & Tax Architects, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Lindahl Lucas (CRD #4815132) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Brochure Supplement – Form ADV Part 2B

Lindahl Lucas

Investment Advisor Representative

Year of Birth: 1964

### **Item 2 Educational Background and Business Experience**

#### **Educational Background:**

- California Lutheran University, BA-Business

#### **Business Experience:**

- Financial and Tax Architects, LLC; Investment Advisor Representative; 10/2017 – Present
- Davinci Wealth Management; President; 10/2017 – Present
- Lucas Insurance Services, Inc.; President; 05/1989 – Present
- Woodbury Financial Services, Registered Representative; 04/2006 – 04/2008

### **Farmers Insurance Company; Agent; 06/1985 – 04/1989**

- **Item 3 Disciplinary Information**  
Criminal or Civil Action: Criminal or Civil Action: Mr. Lucas was convicted of a misdemeanor for a failure to provide evidence to a state agency, the California Department of Insurance. Mr. Lucas paid a \$500 fine as restitution in 2016. In May of 2018, the misdemeanor was expunged from Mr. Lucas's record.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

### **Item 4 Other Business Activities**

Lindahl Lucas is the President of Lucas Insurance Services, Inc., a California-based insurance agency and Davinci Wealth Management. Davinci provides financial planning and consulting services, including advisory services through Financial & Tax Architects, Inc. Mr. Lucas spends approximately 70% of his time is spent in his insurance practice. In that capacity, he will provide advice on various insurance products. Mr. Lucas only sells insurance products in states where he is licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Lucas to recommend products that pay him a commission or other compensation. The conflict mitigation steps include disclosures, the Code of Ethics, and Mr. Lucas's fiduciary obligation to place the best interest of the client first. There is no obligation to purchase any commission based or other compensated products. Clients have the option to purchase any recommended products through the insurance agent of their choosing.

**Item 5 Additional Compensation**

Mr. Lucas does not receive any additional compensation resulting from his advisory activities.

Mr. Lucas does not receive performance-based fees.

**Item 6 Supervision**

Tito Pombra is FTA's Chief Compliance Officer. Tito Pombra and FTA's senior management supervise the advisory activities of Mr. Lucas. Tito Pombra can be reached by phone at 650-703-2159 or by email at [tpombra@outsourccecco.com](mailto:tpombra@outsourccecco.com).