

Financial & Tax Architects, LLC

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Form ADV Part 2B

William Clark Smith

March 20, 2023

This brochure supplement provides information about William “Clark” Smith that supplements Financial & Tax Architects, LLC’s brochure. You should have received a copy of that brochure. Please contact Giselle Casella, Chief Compliance Officer, if you did not receive Financial & Tax Architects, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about William “Clark” Smith (CRD #2108204) is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Brochure Supplement – Form ADV Part 2B

William “Clark” Smith

Investment Advisor Representative

Year of Birth: 1965

### **Item 2 Educational Background and Business Experience**

#### **Educational Background:**

- Mississippi State University; BA – Finance; 1988
- Mississippi State University; MBA – Finance; 1988

#### **Business Experience:**

- Financial and Tax Architects, LLC; Investment Advisor Representative; 02/2020 – Present
- FTA Insurance Services, LLC; Licensed Insurance Agent; 04/2020 – Present
- Stuart Medical Supply; Owner; 06/2016 – 10/2020
- Retired; 05/2015 – 06/2016
- Woodridge Capital Portfolio Management; Investment Advisor Representative & Owner; 06/2006 – 05/2015

### **Item 3 Disciplinary Information**

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

### **Item 4 Other Business Activities**

William Clark Smith is also a licensed insurance agent in Missouri. Greater than 50% of Mr. Smith’s time is spent in his role as an insurance agent for FTA Insurance Services, LLC. Mr. Smith only sells insurance products in states where is licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Smith to recommend products that pay him a commission or other compensation. The conflict mitigation steps include disclosures, the Code of Ethics, and Mr. Smith’s fiduciary obligation to place the best interest of the client first. There is no obligation to purchase any commission based or other compensated products. Clients have the option to purchase any recommended products through the insurance agent of their choosing.

### **Item 5 Additional Compensation**

Mr. Smith does not receive any additional compensation from his advisory activities. Mr. Smith does not receive any performance-based fees.

## Item 6 Supervision

Giselle Casella is FTA's Chief Compliance Officer. Giselle Casella and FTA's senior management supervise the advisory activities of Mr. Smith. Giselle Casella can be reached by phone at 941-786-4482 or by email at [giselle.casella@fta-ria.com](mailto:giselle.casella@fta-ria.com).