

Financial & Tax Architects, LLC

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Form ADV Part 2B

Scott Peterson

November 17, 2022

This brochure supplement provides information about Scott Peterson that supplements Financial & Tax Architects, LLC's brochure. You should have received a copy of that brochure. Please contact Giselle Casella, Chief Compliance Officer, if you did not receive Financial & Tax Architects, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Scott Peterson (CRD #5999160) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Brochure Supplement – Form ADV Part 2B

Scott Peterson

Investment Advisor Representative

Year of Birth: 1982

### **Item 2 Educational Background and Business Experience**

#### **Educational Background:**

- University of Missouri- St. Louis; Bachelors – Business Administration; 2007

#### **Business Experience:**

- Financial and Tax Architects, LLC; Investment Advisor Representative; 06/2019 – Present
- Edward Jones & Co.; Registered Representative; 11/2014 – 05/2019
- Edward Jones & Co.; Recruiter; 11/2011 – 10/2014
- Aerotek; Recruiter; 12/2007 – 11/2011

### **Item 3 Disciplinary Information**

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

### **Item 4 Other Business Activities**

Scott Peterson is also licensed insurance agent. Less than 50% of Mr. Peterson's time is spent in his role as an insurance agent for FTA Insurance Services, LLC. Mr. Peterson only offers insurance products in states where is licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Peterson to recommend products that pay him a commission or other compensation. The conflict mitigation steps include disclosures, the Code of Ethics, and Mr. Peterson's fiduciary obligation to place the best interest of the client first. There is no obligation to purchase any commission based or other compensated products. Clients have the option to purchase any recommended products through the insurance agent of their choosing.

### **Item 5 Additional Compensation**

Mr. Peterson does not receive additional compensation from his advisory activities. He does receive additional compensation from the outside business activities described above.

Mr. Peterson is not paid performance-based fees.

## Item 6 Supervision

Giselle Casella is FTA's Chief Compliance Officer. Giselle Casella and FTA's senior management supervise the advisory activities of Mr. Peterson. Giselle Casella can be reached by phone at 941-786-4482 or by email at [giselle.casella@fta-ria.com](mailto:giselle.casella@fta-ria.com)