

Financial & Tax Architects, LLC

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Form ADV Part 2B

Alan D. Johnson

November 17, 2022

This brochure supplement provides information about Alan Johnson that supplements Financial & Tax Architects, LLC's brochure. You should have received a copy of that brochure. Please contact Giselle Casella, Chief Compliance Officer, if you did not receive Financial & Tax Architects, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Alan Johnson (CRD #2561928) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Brochure Supplement – Form ADV Part 2B

Alan Johnson

Investment Advisor Representative

Year of Birth: 1964

### **Item 2 Educational and Business Background**

Educational Background:

- No post-secondary education.

Business Experience:

- Professional Group, Inc.; Independent Agent; 08/1994 – Present
- Financial and Tax Architects, LLC; Investment Advisor Representative; 05/2021 – Present

### **Item 3 Disciplinary Information**

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

### **Item 4 Other Business Activities**

Alan Johnson is a Maryland licensed insurance agent with Professional Group, Inc. which is based in Maryland. Mr. Johnson founded Professional Group, Inc. and is its President. He devotes approximately 70% of his time to his insurance practice. In his capacity as an agent, he provides advice on various insurance products. Mr. Johnson only sells insurance products in states where he is properly licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Johnson to recommend products that pay him a commission or other compensation. The conflict mitigation steps include disclosures, the Code of Ethics, and Mr. Johnson's fiduciary obligation to place the best interest of the client first. There is no obligation to purchase any commission based or other compensated products. Clients have the option to purchase any recommended products through the insurance agent of their choosing.

### **Item 5 Additional Compensation**

Mr. Johnson does not receive additional compensation from his advisory activities. He does receive additional compensation from the outside business activities described above.

Mr. Johnson is not paid performance-based fees.

## Item 6 Supervision

Giselle Casella is the Chief Compliance Officer of Financial & Tax Architects, LLC. Giselle Casella and FTA's senior management supervise the advisory activities of Mr. Johnson. Giselle Casella can be reached by phone at 941-786-4482 or by email at [giselle.casella@fta-ria.com](mailto:giselle.casella@fta-ria.com).