



Financial & Tax Architects, Inc.

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Form ADV Part 2B

Jason Smitka

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March 1<sup>st</sup>, 2022

This brochure supplement provides information about Jason Smitka that supplements Financial & Tax Architects, Inc.'s brochure. You should have received a copy of that brochure. Please contact Steve Frontczak, Chief Legal Officer, if you did not receive Financial & Tax Architects, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Jason Smitka (CRD #3079456) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Brochure Supplement (Part 2B of Form ADV)

Jason Smitka

Investment Advisor Representative

### Item 2 Educational Background and Business Experience :

Educational Background:

- Ottawa University; Bachelor of Science Degree – Business

Business Experience:

- Financial and Tax Architects, Inc.; Investment Advisor Representative; 01/2022 – Present
- Smitka & Associates, LLC; Owner/Licensed Insurance Agent; 03/2011 – Present
- Scout Financial Group, LLC; Managing Member; 08/2015 – Present
- Scout Wealth Management, LLC; Investment Adviser Representative 06/2018 – 01/2022
- AE Wealth Management, LLC; Investment Advisor Representative; 01/2017 – 06/2018
- Client One Securities LLC; Registered Representative/Investment Advisor Representative; 01/2014 – 12/2016
- Creative Marketing; Sales Representative/Agent; 06/2007 – 03/2021

### Item 3 Disciplinary Information

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

### Item 4 Other Business Activities

Jason Smitka is the Owner Smitka & Associates, LLC, an insurance agency. Mr. Smitka is a licensed agent of Smitka & Associates, LLC. Mr. Smitka only sells insurance products in states where he is licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Smitka to recommend products that pay him a commission or other additional compensation. The conflict mitigation steps include client disclosures, the Code of Ethics, and Mr. Smitka's fiduciary obligation to place the best interests of his client before his own. There is no obligation to purchase insurance and annuity products through Mr. Smitka. The decision to purchase such products does not impact the availability or cost of advisory services.

### Item 5 Additional Compensation

Mr. Smitka does not receive additional compensation from his advisory activities. He does receive additional compensation from the outside business activities described above.

Mr. Smitka is not paid performance-based fees.

## Item 6 Supervision

Steve Frontczak is FTA's Chief Compliance Officer of FTA. Mr. Frontczak reviews the advisory activities of Mr. Smitka. He can be reached by phone at (314) 858-1122 or by email at [steve@fta-ria.com](mailto:steve@fta-ria.com).