



Financial & Tax Architects, Inc.

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Form ADV Part 2B

Michael Rojas

March 1<sup>st</sup>, 2022

This brochure supplement provides information about Michael Rojas that supplements Financial & Tax Architects, Inc.'s brochure. You should have received a copy of that brochure. Please contact Steve Frontczak, Chief Legal Officer, if you did not receive Financial & Tax Architects, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Rojas (CRD #2145760) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Brochure Supplement – Form ADV Part 2B**

Michael Rojas

Investment Advisor Representative

### **Item 2 Educational Background and Business Experience**

Educational Background:

- University of Arizona, BA

Business Experience:

- Financial and Tax Architects, Inc.; Investment Advisor Representative; 10/2017 – Present
- Legacy Estate Group, LLC; President; 05/2000 – Present

### **Item 3 Disciplinary Information**

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

### **Item 4 Other Business Activities**

Michael Rojas is the President of Legacy Estate Group LLC, an Arizona-based insurance agency. Mr. Rojas spends approximately 70% of his time is spent in his insurance practice. In that capacity, he will provide advice on various insurance products. Mr. Rojas only sells insurance products in states where he is properly licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Rojas to recommend products that pay him a commission or other compensation. The conflict mitigation steps include disclosures, the Code of Ethics, and Mr. Rojas's fiduciary obligation to place the best interest of the client first. There is no obligation to purchase any commission based or other compensated products. Clients have the option to purchase any recommended products through the insurance agent of their choosing.

### **Item 5 Additional Compensation**

Mr. Rojas does not receive any additional compensation from his advisory activities. Mr. Rojas does not receive any performance-based fees.

### **Item 6 Supervision**

Steve Frontczak is FTA's Chief Compliance Officer, Mr. Frontczak reviews the advisory activities of Mr. Rojas. He can be reached by phone at (314) 858-1122 or by email at [compliance@fta-ria.com](mailto:compliance@fta-ria.com)